

2026 Compliance Calendar - Private Fund

Deadlines for SEC-registered investment advisers with a December 31 fiscal year end.

JANUARY							FEBRUARY							MARCH							APRIL							MAY							JUNE						
4	5	6	7	8	9	10	1	2	3	4	5	6	7	1	2	3	4	5	6	7	5	6	7	8	9	10	11	3	4	5	6	7	8	9	7	8	9	10	11	12	13
11	12	13	14	15	16	17	15	16	17	18	19	20	21	15	16	17	18	19	20	21	12	13	14	15	16	17	18	10	11	12	13	14	15	16	14	15	16	17	18	19	20
18	19	20	21	22	23	24	22	23	24	25	26	27	28	22	23	24	25	26	27	28	19	20	21	22	23	24	25	17	18	19	20	21	22	23	21	22	23	24	25	26	27
25	26	27	28	29	30	31								29	30	31					26	27	28	29	30			24	25	26	27	28	29	30	28	29	30				

JULY							AUGUST							SEPTEMBER							OCTOBER							NOVEMBER							DECEMBER						
5	6	7	8	9	10	11	2	3	4	5	6	7	8	6	7	8	9	10	11	12	4	5	6	7	8	9	10	1	2	3	4	5	6	7	6	7	8	9	10	11	12
12	13	14	15	16	17	18	9	10	11	12	13	14	15	13	14	15	16	17	18	19	11	12	13	14	15	16	17	8	9	10	11	12	13	14	13	14	15	16	17	18	19
19	20	21	22	23	24	25	16	17	18	19	20	21	22	20	21	22	23	24	25	26	18	19	20	21	22	23	24	15	16	17	18	19	20	21	20	21	22	23	24	25	26
26	27	28	29	30			23	24	25	26	27	28	29	27	28	29	30				25	26	27	28	29	30	31	22	23	24	25	26	27	28	27	28	29	30	31		

Regulatory Filings

- **Form PF Annual Amendment:** "Other than Large Hedge Fund Filers" (includes all other advisers) due within 120 days of FYE
- **Audited Financials:** Annual distribution to investors due within 120 days of FYE (or 180 days for Fund-of-Funds)
- **Form PF - Large Liquidity Fund Advisers:** Due within 15 days of QE
- **Form PF - Large Hedge Fund Advisers:** Due within 60 days of QE
- **Form PF - Private Equity Advisers:** Due within 60 days of each fiscal QE in which certain "private equity reporting events" occurred
- ***Form D:** Annual filing for continuous offerings is required to be amended based upon the original Form D file date (i.e., if the initial file date is August 13, 2025, then the annual update will be required to be filed by August 13, 2026)
- ****Form PF - Large Hedge Fund Advisers:** Due no later than 72 hours after the occurrence of a triggering event
- **Form ADV Annual Amendment:** Due within 90 days of FYE
- **Form ADV Delivery:** Due within 120 days of FYE
- **Form 13F:** Due within 45 days of QE by Institutional Managers
- **Form 13H:** Due within 10 days of QE by Large Traders (if required)
- **Form 13H:** Due within 45 days of year end by Large Traders
- **Schedule 13G:** Due within 45 days of QE or 5 business days after month-end (if required)
- **Form N-PX:** Due by August 31 of each year by Institutional Managers
- ***Schedule 13D:** Due within 2 days after material change from previous filing

Compliance with New Rules

- **Form PF Amendments Reporting Requirements for All Filers and Large Hedge Fund Advisers:** Compliance deadline of Oct. 1, 2026, for all filers
- **Regulation S-P Amendments:** Compliance deadline of June 3, 2026, for Smaller Entities (institutions that do not meet the "Larger" standards)

Federal Holidays

- **Federal Holidays:** (EDGAR closed)

***IARD shuts down on 12/26 at 6 PM EST for year-end processing